

FIT AND PROPER REQUIREMENTS LONG-TERM / SHORT-TERM INSURANCE AGENTS / BROKERS

**To be completed by all persons who wish to apply for
registration as an agent or broker in terms of the Long-term
Insurance Act, 1998 or the Short-term Insurance Act, 1998**

SECTION A: (PERSONAL INFORMATION)

Full name(s): _____

Previous names _____

Current Nationality: _____

Previous Nationality: _____

Identification number: _____

Place of birth: _____

Residential address: _____

Postal address: _____

Telephone number: _____

Fax number: _____

E-mail address: _____

Mobile number: _____

Initial

Initial

SECTION B: (HONESTY AND INTEGRITY)

Please answer each of the below questions by ticking the relevant box. If the answer to any of the questions is yes, full details must be provided on a separate page.

		YES	NO
1	Has an adverse finding been made against you within a period of ten years preceding the date of application in any civil or criminal proceedings by a court of law (whether in Namibia or elsewhere), in which you were found to have acted fraudulently, dishonestly, unprofessionally, dishonorably or in breach of a fiduciary duty?		
2	Have you within a period of ten years preceding the date of application been found guilty by any court of law, professional, regulatory, supervisory or financial services industry body (whether in Namibia or elsewhere), of an act of theft, fraud, forgery, perjury, dishonesty, negligence, incompetence or mismanagement or an offence under any law on corruption or any offence involving dishonesty?		
3	Have you within a period of ten years preceding the date of application been denied membership of any professional, regulatory, supervisory or financial services industry body (whether in Namibia or elsewhere), on account of an act of dishonesty, negligence, incompetence or mismanagement?		
4	Has an authorization to carry on business been refused, suspended or withdrawn by any such court of law, professional, regulatory, supervisory or financial services industry body (whether in Namibia or elsewhere) on account of an act of theft, fraud, forgery, perjury, dishonesty, negligence, incompetence or mismanagement or an offence under any law on corruption or any offence involving dishonesty?		
5	Have you at any time prior to the date of application been disqualified or prohibited by any court of law (whether in Namibia or elsewhere) from taking part in the management of any company or other statutorily created, recognized or regulated body, irrespective whether such disqualification has since been lifted or not?		
6	Have you been the subject of any investigation or disciplinary proceedings by any regulatory authority (whether in Namibia, or elsewhere) or exchange, professional body or government body or agency due to theft, fraud, forgery, perjury, dishonesty, negligence, incompetence or mismanagement or an offence under any law on corruption or any offence involving dishonesty?		
7	Have you ever been declared mentally unfit or incapable of entering into valid contracts by a competent court?		
8	Have you within a period of ten years preceding the date of application been dismissed or voluntarily resigned from office on account of misconduct relating to fraud or the misappropriation of money, whether in Namibia or elsewhere?		
9	Have you within a period of ten years preceding the date of application been a director or member of a governing body of an entity at the time that such entity has been de-registered in terms of public regulation as per the Companies Act 28 of 2004 or the Close Corporations Act 26 of 1988, or any other relevant legislation?		
10	Have you within a period of ten years preceding the date of application received a grant of amnesty or free pardon for any offence?		

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11	Has your estate ever been sequestrated or have you ever entered into an arrangement with creditors or been the subject of any judgment award that remains outstanding or has not been satisfied for a significant period of time?		
12	Have you within a period of ten years preceding the date of application been a director or member of a company or close corporation at the time that such company or close corporation has been liquidated or has entered into an arrangement with its creditors or at a time that the company or close corporation has been the subject of any judgment award that remains outstanding or has not been satisfied for a significant period of time?		
13	Have you ever been convicted of an offence or found to be liable or are you currently subject to an investigation under the Financial Intelligence Act, No. 13 of 2012 (FIA), and/or the Prevention of Organized Crime Act, No. 29 of 2004 and/or the Combating of Financing of Terrorist Act, Act No 12 of 2012 and/or any other similar crime in any country?		
14	Do you have any additional information, which should be brought to the Registrar's attention, which may have an impact on the evaluation, by the Registrar of your good character and integrity?		

DECLARATION

I, _____ (full names)
hereby declare the following:

- The contents of this declaration are true and correct to the best of my knowledge and belief.
- I undertake to notify the Registrar of any material changes to, or affecting the completeness or accuracy of, the information supplied to the Registrar in this statement as soon as possible, but in any event no later than 30 days from the day that the changes come to my attention.
- I know and understand the content of this declaration.
- I do not have objections to taking the prescribed oath. I consider the prescribed oath to be binding on my conscience.

SIGNATURE OF DEPONENT

Initial

Initial

THUS SIGNED AND SWORN before me at _____ on
the _____ day of _____ 20____ the Deponent
having declared that he/she knows and understands the contents of this Affidavit, that is true and
correct, that he/she has no objection to taking the oath, that he/she considers the oath to be binding
on his/her conscience.

COMMISSIONER OF OATHS (To initial on each page as well)

FULL NAME:

CAPACITY:

ADDRESS:

Initial

Initial